Defense Security Service

Arms, Ammunition, and Explosives (AA&E) Familiarization Briefing

DoD 5100.76-M
Physical Security of Sensitive Conventional Arms, Ammunition, and Explosives
AA&E Facilities

• Approximately 150 facilities
• 20 trained AA&E cadre members
DSS Authority

- DoD Directive 5143.01 (Reference (b))
- DoD Instruction 5100.76 (Reference (c))
- DoD Manual 5100.76
- DFARS 252.223-7007
DSS Authority (Cont.)

- **DFARS 252.223-7007**

  - Safeguarding Sensitive Conventional Arms, Ammunition, and Explosives (Sep 1999)

    (a) Definition. “Arms, ammunition, and explosives (AA&E),” as used in this clause, means those items within the scope (chapter 1, paragraph B) of DoD 5100.76-M, Physical Security of Sensitive Conventional Arms, Ammunition, and Explosives.

    (b) The requirements of DoD 5100.76-M apply to the following items of AA&E being developed, produced, manufactured, or purchased for the Government, or provided to the Contractor as Government-furnished property under this contract:

    **NOMENCLATURE   NATIONAL STOCK NUMBER   SENSITIVITY/CATEGORY**

    (c) The Contractor shall comply with the requirements of DoD 5100.76-M, as specified in the statement of work. The edition of DoD 5100.76-M in effect on the date of issuance of the solicitation for this contract shall apply.

    (d) The Contractor shall allow representatives of the Defense Security Service (DSS), and representatives of other appropriate offices of the Government, access at all reasonable times into its facilities and those of its subcontractors, for the purpose of performing surveys, inspections, and investigations necessary to review compliance with the physical security standards applicable to this contract.

    (e) The Contractor shall notify the cognizant DSS field office of any subcontract involving AA&E within 10 days after award of the subcontract.

    (f) The Contractor shall ensure that the requirements of this clause are included in all subcontracts, at every tier—

        1. For the development, production, manufacture, or purchase of AA&E; or
        2. When AA&E will be provided to the subcontractor as Government-furnished property.

    (g) Nothing in this clause shall relieve the Contractor of its responsibility for complying with applicable Federal, state, and local laws, ordinances, codes, and regulations (including requirements for obtaining licenses and permits) in connection with the performance of this contract.
Sensitive AA&E

- As a general rule, only AA&E that has an unpacked unit weight of 100 pounds or less shall be categorized as *sensitive* for purposes of this manual
- Item must be “pilferable”
- Risk Factors as determined by DoD Component
  - Utility
  - Casualty or Damage Effect
  - Adaptability
  - Portability
Sensitive AA&E (Cont.)

• Does NOT apply to:
  • Nuclear Weapons
  • Most devices charged with chemical agents
  • Non-lethal or inert training ammunition
  • AA&E aboard US Navy vessels
AA&E?
Government
Procuring Command
Responsibilities
Government Requirements

- State in contract that storage and handling is in accordance with DoD 5100.76-M
- State that entry authority is authorized to enable DSS to conduct surveys, inspections, and investigations
- Identify Security Risk Category (SRC) of AA&E item(s)
Government Requirements (Cont.)

- Notify DSS of new contracts involving sensitive AA&E
- Provide a written facility suitability determination
- Provide approval of accountability procedures
Government Requirements (Cont.)

- Provide contractor with instructions for the disposal and demilitarization of residual AA&E
- Coordinate with DSS on contractor requests for waivers or exceptions
- Forward the waiver request, with recommendations, to the MAJCOM for a decision
Defense Contractor Requirements
Contractor Requirements
SRC I-IV AA&E

• Request written facility suitability determination from GCA

• Request approval of accountability procedures from GCA

• Implement access controls

• Implement production line security measures

• Implement a key and lock control system

• Notify DSS when AA&E subcontract is issued
Contractor Requirements
SRC I-IV AA&E (Cont.)

• Develop written standard practice procedures and submit to DSS

• Use high security locks and hasps
  • Storage facilities
  • Production buildings

• Provide exterior building and door lighting (if required)

• Provide fencing (if required)

• Install Intrusion Detection System (if required)

• Transport AA&E IAW DoD 4500.9-R
  • Defense Transportation Regulation – Part II, Ch. 205
High Security Lock (S&G 951) & Hasp
Storage Structures
Defense Security Service
Requirements
DSS Requirements

- Conduct pre-award security surveys (PASS) at contractor facilities when requested
- Review contractor’s Standard Practice Procedures for adequacy
- Conduct inspections of AA&E contractors and provide written inspection reports to the GCA(s)
## Pre-Award Security Survey (PASS)

- ✓ Receive PASS Request, Solicitation/Contract
- ✓ Verify PASS Meets SRC-I-IV Requirements
- ✓ Accountability
  - ✓ Accountability Procedures to GCA
  - ✓ Production Line Measures to DSS
- ✓ Physical Security
  - ✓ Lighting
  - ✓ Key and Lock Control
  - ✓ Storage Areas
  - ✓ Intrusion Detection Systems (IDS)
  - ✓ Access Control
  - ✓ Perimeter Fencing
  - ✓ AA&E Production Line Security
  - ✓ In-Transit Security
  - ✓ Classified AA&E
  - ✓ Disposal and Demilitarization
- ✓ Incident Reports
- ✓ Standard Operating Procedures
- ✓ Waiver/Exceptions
- ✓ Report Survey Results to DCMA

## Annual Inspection

- ✓ GCA Approvals
  - ✓ Facility Suitability Determination
  - ✓ Accountability Procedures
- ✓ Physical Security
  - ✓ Lighting
  - ✓ Key and Lock Control
  - ✓ Storage Areas
  - ✓ Intrusion Detection Systems (IDS)
  - ✓ Access Control
  - ✓ Perimeter Fencing
  - ✓ AA&E Production Line Security
  - ✓ In-Transit Security
  - ✓ Classified AA&E
  - ✓ Disposal and Demilitarization
- ✓ Incident Reports
- ✓ Standard Operating Procedures
- ✓ Waiver/Exceptions
- ✓ Provide Inspection Results to GCA(s)
DSS Requirements (Cont.)

- Advise and assist contractors as necessary
- Coordinate on contractor’s requests for waivers or exceptions
Safety First!
Unique Requirement
Classified AA&E

- Classified AA&E, if also categorized in accordance with DoD 5100.76-M, shall be stored and transported in accordance with DoD 5100.76-M
DSS, ATF, and DCMA

- Commercial vs. DoD AA&E
- ATF Authority
- DCMA

- DSS/ATF Liaison
  - SRC III & IV Ammunition & Explosives Inspections
  - DSS Request Copy of ATF Report
Questions?